# **Discontinuous Metal Thin Film Applications in Electronics Packaging**



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## Contents



#### Introduction

Electronics packaging (EP) & reliability sensing Discontinuous metal thin films (DMTFs)

Fabrication & electrical properties

"Classical" conduction model & experimental anomalies Contact injection model: experiments & simulation Reproducibility & stability

#### **DMTF Applications in Electronics Packaging (EP)**

Classical model

Thermal sensors

Strain sensors

Hydrogen sensing (and other gases)

Single electron transistors (SETs)

Contact injection model

- Switching
- **Rectification & filtering**
- Hopping

#### **Summary & References**

### History: Vacuum tubes, TO-5 cans, DIL/DIP, etc

#### Chip-scale package (CSP) 0.298-0.370" 0.270" (0.270 x 0.242) 0.014-0.050" 0.014-0.050" Cu Flip chip $\rightarrow$ Silicon IC 97Pb/35n 0.016" 0.003-0.005" 40Pb/60Sn 0.003-0.005" 0.008-0.012" 🛔 FR-4 or BT epoxy Cu-Ni BGA→ 36Pb/62Sn/2Ag 0.012" 0.0222" 0.032" FR4 Board 0.030-0.060

DIE BOND

MATERIAL

GOLD WIRE

LEADFRAME

42 million transistors, Pentium 4

2000

Figure 1: Schematic of a SLICC package,

intel

Core<sup>™</sup> i7

22nm

2012

1971

2,300 transistors, 4004 up to 108 KHz, 4-bit processor, minimum feature size of 10 um A80186 L6054158 ₩©'78'82

80186 ran at 6 MHz, 16-bit processor, minimum feature size of 3.2 um

ssor, 855,000 transistors, 80386SL ran at 20 MHz, 32-bit processor, minimum feature size of 1 um

1971-2012 courtesy of Joe Fjelstad

FIGURE 1-12. Cutaway view of a postmolded plastic package in the configuration of a dual-line

MOLDED PLASTIC

package (DIP)

1990

SILICON CHIP

More than Moore: Microsystem Integration -> Heterogeneous Integration (System in a Package)







## **IC Packaging Technology**

#### **Power Distribution** -Power Signal Distribution T BBBBBSignal Heat Dissipation Heat -----Package Protection

IC packaging was for many years undervalued

- Packaging costs now often exceed the Si cost
- The increasing importance of IC packaging is now widely recognized (US CHIPS Act)
- IC package is more frequently the limiting factor in chip/system performance
- New and improved IC packaging options and design approaches continue to evolve to keep pace with market product demands for greater functionality per unit volume
- Wafer level packaging is seeing increased roles
- Package engineering is highly interdisciplinary, (electrical, mechanical, materials, thermal, etc.,) so true packaging engineers are rare ...... typically retrained from another discipline, e.g., by graduate research.

Slide courtesy of Joe Fjeldstad

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#### Packaging Issues

Thermal/Thermomechanical 3D Integration (TSVs: Through Si Vias) Embedded Passives

**Heterogeneous Integration** 

Figure 1-1. Four Major Functions of the Package. + Mechanicon

10000

# **Reliability Sensing in EP**

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Figure 1. Layout of a stress sensing cell on an IC test chip. The piezoresistive surface-diffused resistors have several different orientations. Four resistors each are P and N diffusions. A diode in the center of the resistor pattern is used as the thermometer to adjust for resistance change with temperature. All readings are 4-point. The attached transistor banks allow individual readings from any transistor using a common set of measurement lines.

#### All-Si sensors

David W. Palmer "Test Structures as a Way to Evaluate Packaging Reliability" *MRS Bulletin*, December 1993, 55-58



Figure 3. Triple tracks are used for corrosion susceptibility studies. The three aluminum alloy conductor lines are usually of micron width and have a 40 V drop between lines. Since ICs most often operate at 3.3–7 V, the 40 V bias accelerates any corrosion mechanisms. Some triple tracks receive normal IC passivation, and others are exposed directly to the packaging environment.

Continuous Al film tracks with  $\sim 10x$  over-voltage to accelerate corrosion failure.

Need package reliability assessment: In package development During manufacture In the field <u>Mic</u> <u>1</u>

J.E. Morris Microsystem Technologies <u>15(1) (2009) 139-143</u>

EP reliability issues: Over-voltage Over-heating Thermal dissipation Thermomechanical strain Corrosion Activate corrective action for fault detection: E.g., Power down

Advantages of DMTF sensors for EP: Size High resistance/low power BEOL manufacturability Radiation stability (Si-based on-chip sensors are radiation sensitive, e.g. Suhling & Jaeger *IEEE Sensors Journal* <u>1</u>(1) 2001, 14-30)

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### **Discontinuous Metal Thin Films**



- Weak adhesion  $\rightarrow$  nm islands & nm gaps
- Well researched 1960's-1970's
- Electron tunneling with electrostatic charging energy



(a) Capture distance x, &
(b) Secondary nucleation x ~ d: Diffusion increases with T (deposition rate)

## **Island Size & Separation**

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FIG. 1. Dependence of island size, r, and interisland separation, d, upon average thickness for Au films. Relationships are presented for deposition rates of 0.5 and 5.0 Å/sec.

## Note gaps *d* approximately constant over the range of interest: capture distance

Is *r* measured for coalesced or secondary nucleation islands?



FIG. 4. Ultrathin Au film conductance dependence upon inverse temperature for films of various thicknesses grown with base pressure  $10^{-10}$  Torr and 0.5 Å/sec deposition rate.

# **δE: Activation Energy**

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 $N_{\infty} \text{ islands, N charged (Boltzmann distribution)} \qquad N = N_{\infty} \exp(-\Delta E/kT) \qquad \begin{array}{l} \text{Neugebauer \& Webb,} \\ \text{J. Appl. Phys. 1962} \\ \text{Solution of radius r and gaps s: } \delta E = (q^2/4\pi\varepsilon)(r^{-1} - (r+s)^{-1}) - (2r+s)qF \quad \text{for electric field } F < (q/4\pi\varepsilon)(r+s)^{-2} \\ \text{and at higher fields} \quad \delta E = (q^2/4\pi\varepsilon)r^{-1} + (r/s)(2r+s)qF - (q^2/4\pi\varepsilon s)^{\frac{1}{2}}((2r+s)qF)^{\frac{1}{2}} \\ \text{(Compare Schottky Effect)} \qquad \begin{array}{l} \text{Neugebauer \& Webb,} \\ \text{J. Appl. Phys. 1962} \\ \text{J. Ap$ 



KEY POINT: Conduction is by tunneling from the (small) number of charged islands





Electron tunneling term (N&W)  $\sigma_0 = \lambda(4\pi mq^2/h^3B).(\pi BkT/sin\pi BkT).exp-A\phi^{\frac{1}{2}}$ where  $B=\frac{1}{2}A\phi^{\frac{1}{2}}$ ,  $A=4\pi s(2m)^{\frac{1}{2}}/h$ ,  $\lambda$  constant

# **Experimental Anomalies**

- <u>Experimental Resistance</u>
   Doesn't scale with film length
   Orders of magnitude less than theoretical
- Expected bias effect not observed  $\rightarrow$
- RC capacitance too high (>>  $\frac{1}{2}q^2/\delta E$ )  $\rightarrow$
- Switching effects (see later)
- Asymmetrical island structures at electrodes
   Nonlinear field along film
   Diode effect (polarity dependent resistance)
   AC model: (R<sub>1</sub>||C<sub>1</sub>)+R<sub>s</sub>+(R<sub>2</sub>||C<sub>2</sub>)
   Pseudo-inductance & apparent resonance
   (next slides)

#### **Conclusion: Points to carrier injection at contacts**

Morris J 1976 *Thin Solid Films* **36** 29; Morris J and Coutts T 1977 *Thin Solid Films* **47** 3 Morris J.E. 2022 *Nano Express* **3** 014002 doi:10.1088/2632-959X/ac550c For a square film, the film R<sub>g</sub>,C<sub>g</sub> should equal the "cell" R<sub>g</sub>, C<sub>g</sub> for the classical model

From Bode Plot corner frequency:  $\omega_1 = 5 \text{rad/s}$ ,  $R_g = 10^{10}\Omega \& C_g = 20 \text{pF}$ but from  $\delta E = 0.86 \text{eV} = q^2/2\text{C}$ ,  $C_g = 10^{-19}\text{F}$  $20 \text{pF}/10^{-19}\text{F} = 2 \times 10^8 \sim \text{number of islands along the contacts}$  $\& R_g = 2 \times 10^{18}\Omega$  for a single gap at the contact

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Bias voltage ~10volts should swamp the Boltzmann distribution of charge in the film and modulate the film conductance. In practice, negligible effects observed.



# <sup>11</sup>Anomalies: Contact effects



## **RC** frequency effects

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10KHz

30

1.5

(b)

σαω

20

∎1KHz

Re[impedance]( $M\Omega$ )

log<sub>10</sub>frequency(H

Re[admittance]( $x 10^{-7}$ s)

40KHz

2.5

2.0

**`Hopping** 

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Offset deposition source; contact Pseudo-Inductance at greater asymmetry; apparent resonance Current grows as charges injected at the contacts shadow effect to create asymmetry



## "New" conduction model: Contact Injection Portland State

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Note: Contact effects also extensively discussed in Dryer, Goer & Speiser and Goer, Dryer & Speiser, JVST 1973/1974.

# Asymmetrical Contact Simulations Portland State



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Towards film Smaller islands, larger gaps near contacts

(Fan Wu, PhD dissertation, SUNY-Binghamton, 2004) [possibly due to contact heating during film deposition (R.M. Hill) or unidirectional atomic capture.]



100 x 100 islands on a 6nm grid 3nm diameters & 3nm gaps except at left hand contact row: 1nm diameter & 5nm gap



Potential Along Column 98

Next slide: log scales

#### 15 Input Step Transient & Composite Figure Portland State



100 i200 i300 i400

Equilibrium carrier concentrations

and field distributions shown at

right.

F. Wu & J.E. Morris, Thin Solid Films 317 (1998) 178-182

# Reproducibility & Stability



# Structural Control #1

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Future research & commercial applications need uniform, reproducible & stable DMTF structures

Thermal evaporation  $\rightarrow$  sputter deposition



AFM images showing growth sequence of discontinuous AI films deposited at 773K. Probably more reproducible & stable (industry-standard technique) Fan Wu, PhD dissertation, SUNY-Binghamton, 2004

2. AFM deposition, oxidation & nanoimprinting, etc
 3. Self-assembly of colloidal nanoparticles

### A major advantage of DMTF sensors over Si etc is radiation stability

# Structural Control #2

#### **Atomic Force Microscope (AFM) controlled**



Hui She, Jeahuck Lee, & J.E. Morris Proc. 6th IEEE Confer. Nanotechnology (2006)

(b) Define nucleation sites by AFM Si oxidation & SiO<sub>2</sub> etch Servat et al, *J.Vac.Sci.Technol.A* (1996); Perez-Murano et al, *J.Appl.Phys.* (1995)

AFM oxidizes Si substrate at intended nucleation sites. Oxidation consumes SI below Si surface. HF dissolves  $SiO_2$ , leaving pits behind. Must reoxidize Si surface before or after DMTF deposition.

Not viable for large areas (raster scan but each NP site individually processed) unless to define a nanoimprinting template or similar. (a) & (b) both demonstrated in principle, but site separation difficult



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REALTINE IMAGE gasb050222-a-A-1-2.afm (512)
 SPM Config... View.... Save As.... AutoSave Withdraw Engage... Tilt Removal Palette... Retouch Soft Zoom Hard Zoom Undo Redo Calibration Auxiliary
 Wife



# **Structural Control #3**

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#### Fabrication of free-standing Au NP films (Gauvin M et al 2016 Nanoscale 8 11363 & 16162)



Colloidal NPs coated with monolayer polymer (Habibullah et al, *Nanoscale Res Let*t (2021) .... Review) Nanoparticle (NP) sizes:

- Small enough for  $\delta E$ ?
- More uniform than vacuum deposited
- Known distributions

Nanoparticle gaps:

- Controlled by molecular polymer coating thicknesss
- Nano (molecular) scale for tunneling
- Very uniform

Such films show  $\sigma = \sigma_0 \exp{-\delta E/kT}$  properties

Possible 3D metal polymer
 nanocomposite with asymmetrical contacts, e.g. for via fill.

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Or deposit NP film & protective layer; dissolve substrate to capture nanoparticles (NPs)

# Thermal







**Fig. 5** Resistivity the thermoresistor produced by thermal diffusion of the Fe–Co alloy into glass as a function of temperature. *Inset* schematic cross-section of the thermoresistor based on a matrix consisting of submicron particles of a Fe–Co alloy diffused into a dielectric (*1* sensitive layer, 2 Ag contacts, *3* protective glass film) (Borziak et al. 1994)

The obvious application is for temperature measurement. For T = 300K to 200°C gives just under a 10x decrease in R The most likely application is the use of simultaneously deposited resistors (for matched characteristics) for thermal compensation of other DMTF sensors, e.g. in a Wheatstone bridge.





- Monitor package thermomechanical stress effects
- Electron tunneling:  $\sigma_0 = \text{const. x exp-}4\pi d(2m^*\Phi)^{\frac{1}{2}}$
- Gauge factor G =  $(\Delta R/R)/(\Delta L/L)$

= $(2m\Phi)^{\frac{1}{2}}(4\pi d/h)$ , if  $\delta E$  constant

- High gauge factors, approx. linear with gap width at low strain
- But  $\delta E$  not constant
  - Varies with degree of island adhesion to substrate and island eccentricity
  - Explanation of decreasing resistance at high strains
  - Suggests **decreasing**  $\delta E$  with high strain

Fig. 9. The dependence of the strain sensitivity y on the gap size s between islands in a film of gold on mice. (From Boiko et al. 113)

Gauge factor versus island gap separation B.T. Boiko *et al, Sov. Phys.-Doklady* <u>17</u> (1972) 395

Strain effects support electron tunneling model



S. El-Gamal, *J. Mater. Sci: Mater. Electron.* (2013) DOI 10.1007/s10854-013-1403-z

Figure 4 Response of stabilized island Mn films to longitudinal strain.

A.G. Bishay *et al J. Mater. Sci: Mater. Electron.* (2006) 17: 489-496 DOI 10.1007/s/10854-006-8223-3.



 $\delta E$  decreases with positive strain for island-substrate adhesion and high eccentricity, i.e. island stretches with applied strain as well as the tunneling gap

DMTF strain effects: theoretical **SE** variation & experiment





**Fig. 7** Evolution of the relative NP center-to-center distance  $(\Delta d_x/d_0)$  measured in GISAXS (red squares) and the relative electrical resistance variation  $(\Delta R/R_0)$  of the strain gauges (blue triangles) with respect to the applied strain  $\varepsilon$  along the *x* axis, for (a) 5 nm, (b) 15 nm and, (c) 21 nm gold NPs on PET substrates. The green colored zones in the plots correspond to the elastic domain of substrate deformation (*i.e.*, the operating domain of the NP-based strain gauges).

#### N. Decorde et al Nanoscale <u>6 (</u>2014) 15107-1516



**Fig. 4** *Top* Schematic cross-section of a strain sensor in **a** nonbent and **b** bent state: *1* steel substrate, *2* insulating layer, *3* metal film electrodes, *4* metal particle film. *Bottom* strain coefficient  $\gamma_c$  as a function of spacing  $\delta$  between particles of an Au film on a mica substrate (Konovalov et al. 1997)

### S.A. Nepijko et al *J. Nanopart. Res.* (2011)13:6263-6281, DOI 10.1007/s11051-011-0560-3

 $\leftarrow$  R,  $\delta$ E  $\rightarrow$ 

decreasing with

high +ve strain

I.A. Konovalov et al *MRS Symp. Proc.* <u>459</u> (1997) Pittsburgh (USA) 261–265

**Fig. 5** Evolution of the macroscopic force applied to strain gauges (black triangles) and the corresponding relative NP center-to-center distance measured by SAXS along the *x* axis,  $\Delta d_x/d_0$  (red disks), and the *y* axis,  $\Delta d_y/d_0$  (green squares) (the actual distances  $d_x$  and  $d_y$  can be read on the right axis), with respect to the applied strain  $\varepsilon$  along the *x* axis, for 15 nm gold NP-based gauges on (a) PET and (b) PI substrates.

# **Gas Sensors**

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# Hydrogen Sensors



Fig. 5.8. Film 4: resistance changes in H<sub>2</sub> at 269K; various pressures [8]. Fan Wu, PhD dissertation, SUNY-Binghamton, 2004

#### Discontinuous Pd film H<sub>2</sub> Sensor

Work function increases  $\rightarrow$  resistance increases

H<sub>2</sub> diffuses into the island lattice

Islands swell  $\rightarrow$  gaps narrow  $\rightarrow$  resistance decreases

Corrosion sensing  $M + H_2O \rightarrow MO + H_2$  e.g in ICs It is possible to maximize the unique  $H_2$  response: Large islands with small gaps (fabrication/stability?)



# Single electron transistors (SETs) Portland State



← SET formed by DMTF island near contacts for RT operation.

J.E. Morris, F. Wu, C. Radehaus, M.Hietschold, A. Henning, K. Hofmann, & A.Kiesow,
7<sup>th</sup> Internat. Confer. Solid State & Integrated Circuit Technology
(ICSICT), Beijing, (2004) 634-639.



# Switching

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#### Low-to-high resistance switching



Switching can protect the device in the event of a failure or potential failure detection.

**Fig. 10** Current–voltage characteristic of a chain-like Au particle structure with  $C_3H_5(C_{18}H_{35}O_2)_3$  adsorbate: curve *1* low-resistance state, curve 2 high-resistance state (Alekseenko et al. 1987)

Low-to-high resistance switching is usually destructive/irreversible if due to island coalescence, burn out of low-R paths at current constrictions, etc. In this case, switching is actually due to the absorbate in the tunneling gaps.

B.V. Alekseenko, R.D. Fedorovich & P.M. Tomchuk Mater. Sci. (1987) 13:161–166

#### High-to-low resistance switching Plasma polymerized films (Au/polymer cermet)



breakdown at the positive contact creates the asymmetric film

# Model predicts switching



#### **(**a**)**

Fig. 6.13 Simulated switching effect (a) I vs. bias.

#### Case B

Interpretation: High field at the positive end increases with applied voltage until the barrier to electron removal (positive injection) collapses and the film is swamped with freely injected charges.

Fan Wu, PhD dissertation, SUNY-Binghamton, 2004





## Rectification

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Results from asymmetrical NP structures at the contacts

Advantage of DMTFs for onchip/package rectification: Zero turn-on voltage

Disadvantage of DMTFs for on-chip/package rectification: Low ON/OFF resistance ratio (Possible to increase with greater asymmetry)

### **Rectification** with smoothing

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# Summary

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  - Classical and Contact Conduction Models
  - Fabrication, Reproducibilty & Stability
- DMTF Applications in Electronics Packaging (EP)
  - Thermal & Strain Sensors
  - Hydrogen sensing (and other gases)
  - Single electron transistors (SETs) & Switching
  - Rectification & Filtering
- Summary & References

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#### **Conclusion**

Think of a discontinuous metal film as a 2D metal/air cermet

All phenomena described here should exist in 3D metal/insulator composites

### metal/in

### **Q&A** by email

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## **Pseudo-inductance & Hopping**

# Contact Angle >90° & Oblate Islands Portland State





Secondary nucleation islands overshadowed by agglomerated islands

Figure 14. Viewing DMTF islands from the side [48]

# Modify theory for oblate ellipsoids Portland State

$$\begin{split} \delta \mathsf{E} &= \mathsf{q}^2/\mathsf{C} \\ &= (\mathsf{q}^2/4\mathsf{n}\varepsilon\mathsf{R})(2/e)[\sin^{-1}e - \sin^{-1}(e(1-p)/(1+p))]/(1-p) - \mathsf{q}\mathsf{R}\mathsf{E}\mathsf{a} \\ &\text{for }\mathsf{E}_\mathsf{a} < \mathsf{E}_\mathsf{amin} = (\mathsf{q}^2/4\mathsf{n}\varepsilon\mathsf{R})4p(1+p)^{-1}[(1+p)^2 - e^2(1-p)^2]^{-1/2}, \\ &\text{where } p = \mathsf{d}/\mathsf{R}, \, \mathsf{R} = 2\mathsf{r} + \mathsf{s}, \, \text{and} \\ \delta \mathsf{E} &= (\mathsf{q}^2/4\mathsf{n}\varepsilon\mathsf{R})(2/e)[\sin^{-1}e - \sin^{-1}(e(1-p)\mathsf{R}/((1-p)\mathsf{R}+2x))]/(1-p) - \mathsf{q}\mathsf{E}\mathsf{a} x/p \\ &\text{for }\mathsf{E}_\mathsf{amin} < \mathsf{E}_\mathsf{a} < \mathsf{E}_\mathsf{amax} = (\mathsf{q}^2/4\mathsf{n}\varepsilon\mathsf{R})4p(1-p) - 2(1-e^2)^{-1/2}, \\ &\text{where } x = \frac{1}{2}\mathsf{R}e(1-p)\{[([\{2\mathsf{q}p/\mathsf{n}\varepsilon\mathsf{E}\mathsf{a}(1-p)^2\mathsf{R}^2e^2\}^2 + 1]^{1/2} + 1)/2]^{1/2} - e^{-1}\} \\ &\text{and } \delta \mathsf{E} = 0 \text{ at } \mathsf{E}_\mathsf{a} = \mathsf{E}_\mathsf{amax} \end{split}$$

 $\Theta$  = 136° for Au on glass, by calculation using bulk properties and by TEM.



Figure 13. Oblate spheroid geometry [30, 44].

# Non-ohmic Effects Support δE

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